

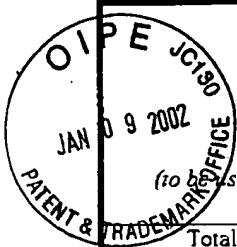
Please type a plus sign (+) inside this box →

PTC/SB/021 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/898,286
Filing Date	July 3, 2001
First Named Inventor	Geoffrey Donald Tremain
Group Art Unit	2131
Examiner Name	
Attorney Docket Number	1821-01100

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment (for an application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):  <i>Supplemental Application Data Sheet Declaration Postcard</i>
Remarks		

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FEB 08 2002

Technology Center 2100

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	Marcella D. Watkins Conley, Rose & Tayon, P.C.
Signature	
Date	October 26, 2001

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: OCT 26 2001.

Typed or Printed Name	Dora Lee Robertson
Signature	
Date	October 26, 2001



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m

See pg 4

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**CONLEY, ROSE & TAYON**  
A PROFESSIONAL CORPORATION

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FACSIMILE (713) 238-8008

AUSTIN OFFICE  
FROST BANK PLAZA  
810 CONGRESS AVENUE, SUITE 320  
AUSTIN, TEXAS 78701-2443  
(512) 470-1400  
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Marcella D. Watkins

Direct Dial No. (713) 238-8043  
E-mail: MWatkins@crlaw.com

January 9, 2002

**PRIVILEGED AND CONFIDENTIAL.  
ATTORNEY CLIENT COMMUNICATION**

Commissioner for Patents  
Box Missing Parts  
Washington D.C., 20231

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FEB 08 2002

Re: Application No.: 09/898,286  
Filing Date: July 3, 2001  
For: Technology Center 2100  
Method and Apparatus For Providing Computer Services

► Re-transmittal of Documents For Which Receipt Not Acknowledged

Dear Sir/Madam:

Attached please find copies of documents transmitted to the Patent and Trademark Office on October 26, 2001 for Application No. 09/898,286.

We have been informed by the pre-examination department that these were not received, possibly due to the problems with the U.S. Mail during that period of time.

These documents were originally filed in a timely manner, and we respectfully request that no additional fees or penalties be incurred.

Please let me know if you have any questions or comments.

Yours very truly,

Marcella D. Watkins

MDW:dlr

Enclosure

cc: Docketing - Patent

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**OPPENEE TRANSMITTAL  
For FY 2002**

JAN 09 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

\$ 130.00

## Complete if Known

Application Number 09/898,286

Filing Date July 3, 2001

First Named Inventor Geoffrey Donald Tremain

Examiner Name

Group Art Unit 2131

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## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 03-2769

Deposit Account Name: Conley, Rose &amp; TAYON, P.C.

Charge Any Additional Fee Required

Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.

See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit Card  Money Order  Other

## FEE CALCULATION (continued) Technology Center 2100

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Fee	Fee	Fee	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	130	65	Surcharge - late filing fee or oath	\$130.00
106 330	206 165	Design filing fee	50	227	Surcharge - late provisional filing fee or cover sheet	\$
107 510	207 255	Plant filing fee	255	139	Non-English specification	\$
108 740	208 370	Reissue filing fee	370	147	For filing a request for ex parte reexamination	\$
114 160	214 80	Provisional filing fee	80	920*	Requesting publication of SIR prior to Examiner action	\$

SUBTOTAL (1) \$

## 2. EXTRA CLAIM FEES

Total Claims	Independent Claims	Extra Claims	Fee from below	Fee Paid
•	•	- 20** =	18.00	-\$
Independent	•	3** =	84.00	-\$
Claims				
Multiple Dependent			280.00	-\$ 00.00

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Fee	Fee	Fee	Fee Description
103 18	203 9	Claims in excess of 20			
102 84	202 42	Independent Claims in excess of 3			
104 280	204 140	Multiple dependent claims, if not paid			
109 84	209 42	** Reissue independent claims over original patent			
110 18	210 9	** Reissue claims in excess of 20 and over original patent			

SUBTOTAL (2) \$

\*\* or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Fee	Fee	Fee	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65			\$130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	25			\$
139 130	139 130	Non-English specification	130			\$
147 2,520	147 2,520	For filing a request for ex parte reexamination	2,520			\$
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	920*			\$
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	1,840*			\$
115 110	215 55	Extension for reply within first month	55			\$
116 400	216 200	Extension for reply within second month	200			\$
117 920	217 460	Extension for reply within third month	460			\$
118 1,440	218 720	Extension for reply within fourth month	720			\$
128 1,960	228 980	Extension for reply within fifth month	980			\$
119 320	219 160	Notice of Appeal	160			\$
120 320	220 160	Filing a brief in support of an appeal	160			\$
121 280	221 140	Request for oral hearing	140			\$
138 1,510	138 1,510	Petition to institute a public use proceeding	1,510			\$
140 110	240 55	Petition to revive - unavoidable	55			\$
141 1,280	241 640	Petition to revive - unintentional	640			\$
142 1,280	242 640	Utility issue fee (or reissue)	640			\$
143 460	243 230	Design issue fee	230			\$
144 620	244 310	Plant issue fee	310			\$
122 130	122 130	Petitions to the Commissioner	130			\$
123 50	123 50	Petitions related to provisional applications	50			\$
126 180	126 180	Submission of Information Disclosure Stmt	180			\$
581 40	581 40	Recording each patent assignment per property (times number of properties)	40			\$
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	370			\$
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	370			\$
179 740	279 370	Request for Continued Examination (RCE)	370			\$
169 900	169 900	Request for expedited examination of a design application	900			\$
Other fee (specify)						

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$130.00

## SUBMITTED BY

Complete if applicable

Name (Print/Type)	Marcella D. Watkins	Registration No. (Attorney/Agent)	36,962	Telephone	(713) 238-8000
Signature	<i>Marcella D. Watkins</i>				Date <i>Oct 21, 2001</i>

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## IN THE UNITED STATES PATENT &amp; TRADEMARK OFFICE

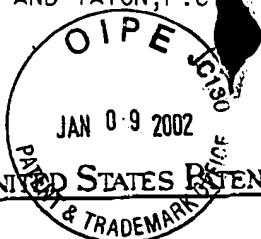
**APPLICANT:** Geoffrey Donald Tremain  
**SERIAL NO.:** 09/898,286  
**FILING DATE:** July 3, 2001  
**CLIENT NO.:** 1821-01100  
**FOR:** Method and Apparatus For Providing Computer Services

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FEB 08 2002

Technology Center 2100

The date stamp of the mail room of the U. S. Patent & Trademark Office hereon will acknowledge receipt of Transmittal Form; Fee Transmittal; Supplemental Application Data Sheet, copy of Notice to File Missing Parts, Declaration mailed by U.S. Postal Service as First Class Mail, postage prepaid, on October 26, 2001.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,286	07/03/2001	Geoffrey Daniel Tremain	1821-01100

CONFIRMATION NO. 2215

23505 *MDW*  
 CONLEY ROSE & TAYON, P.C.  
 P. O. BOX 3267  
 HOUSTON, TX 77253-3267

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 AUG 31 2001

## FORMALITIES LETTER



OC0000000006486234

Date Mailed: 08/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

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01/28/2002 SDIRETA1 00000087 09898286

FILED UNDER 37 CFR 1.53(b)

FEB 08 2002

01 FC:105

130.00 CH

Filing Date Granted

Technology Center 2100

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. *A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

Adjustment date: 02/27/2002 TV0111  
 01/23/2002 SFELEKE1 00000029 032769 09898286  
 01 FC:105 130.00 CH

*A copy of this notice MUST be returned with the reply.*

*DR*  
 Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

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